INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – Western Zone

Virtual Program on
"Management of Operational Risk in Banks & FIs"

from 02nd to 04th September 2025

Open to Members & Non-Members
Individual participants can also register for the programme at their own cost

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Indian Institute of Banking & Finance
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BRIEF BACKGROUND

Established on 30th April 1928 as a company under Section 26 of the Indian Companies Act, 1913, the Indian Institute of Banking & Finance (IIBF), formerly known as the Indian Institute of Bankers (IIB), is a professional body of Banks, Financial Institutions, and their employees in India. IIBF during its 97 years of service been actively involved in examination, training & academics. It has emerged as a premier institute in banking and finance education.

Considering the emerging requirements of finance professionals in the ever-changing dynamic environment, IIBF has been providing quality training. The programs are designed in consultation with industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis.

The regular offerings in varied areas prepare the finance professionals ahead of the impending change. IIBF has state-of-the-art training facilities at its Leadership Centre at Mumbai, and it has four Professional Development Canters (PDCs) at Mumbai, Delhi, Chennai and Kolkata catering to the increasing demand for the focused training programmes.

ABOUT THE PROGRAMME

Operational risk is defined in the capital framework as the risk of loss resulting from inadequate or failed internal processes, people and systems or from external events. Operational risk is inherent in all banking products, activities, processes and systems, and the effective management of operational risk is a fundamental element of a bank's risk management programme.

Operational risk is pervasive, complex, and dynamic. Unlike market and credit risk, which tend to be in specific areas of business, operational risk is inherent in all business processes. Operational risk may manifest in a variety of ways in the banking Operations.

Negligence in managing operational risk can impact the internal business processes, growth and customer service. Banks with a strong culture of risk management and ethical business practices are less likely to experience damaging operational risk events and are better placed to effectively deal with such events, if they occur.

OBJECTIVES

The programme aims at developing understanding among participants about:

- **Understand the Components of Operational Risk Management** – Gain insights into the key elements, policies, and regulatory guidelines that form the foundation of effective ORM in banks and FIs.
- **Analyse Risk Environment and Mitigation Strategies** – Learn to identify, assess, and mitigate operational risks through structured frameworks, controls, and proactive measures.
- **Leverage Technology and Preparedness Measures** – Explore the role of ICT in ORM, address disruption scenarios, and implement robust Business Continuity Planning (BCP) to ensure resilience.

CONTENT OVERVIEW

- **Foundations of Operational Risk Management -** Covers definition, scope, regulatory guidelines, ORM policies, and the importance of fostering a strong risk culture.
- **Fraud Risk & Regulatory Compliance -** Explores banking fraud types, FRM framework, reporting obligations, and preventive measures for KYC/AML-related risks.
- **Technology & Cybersecurity Risks -** Highlights IT risk governance, cyber fraud techniques, data protection strategies, and awareness initiatives.
- **Operational Risk Tools & Processes -**Introduces RCSA, KRIs, three lines of defense, and transaction monitoring with AML alerts and red flag detection.
- **Special Focus Areas & Case-Based Learning** - Covers outsourcing risks, third-party compliance, data breach prevention, and practical fraud/cyberattack case studies.

METHODOLOGY

- **Interactive Virtual Learning** – Program delivered through live online lectures, case studies, presentations, and experience sharing via Zoom.
- **Flexible Access** – Participants can join sessions from home or office using laptop, desktop, tablet, or smartphone; login details will be emailed 24 hours before the program.
- **Certificate Requirement** – Minimum 75% attendance is mandatory for certificate issuance.

TARGET GROUP

Officials from banks and FIs in operations, risk, compliance, audit, fraud monitoring, IT, and related functions, including managers and staff involved in operational risk controls. Bulk nominations are welcome.

DURATION

3 Days - from 02nd to 04th September 2025
Timings: 10.00 A.M. to 05.15 P.M. (First day session at 09.45 am)

FEES

₹ 7500/- plus GST 18% (₹ 1350/-) aggregating to ₹ 8850/- per   participant
(In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name	:	Indian Institute of Banking and Finance
Name of the Bank Branch	:	State Bank of India, Vidyavihar (West), Mumbai.
SB Account No	:	42895503864
IFSC Code	:	SBIN0011710
PAN No.: AAATT3309D	GSTN No.: 27AAATT3309D1ZS	

In case of any quires, kindly contact

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** Last Date of Registration is 30th August 2025 **
To register, kindly email, us the following details

Sr. No.	Name (as required on certificate)	Institution Name	Branch/ Office/Department	Designation	Mobile No. (WhatsApp)	E-mail Id
1	Ms.					
2	Mr.					
Add additional rows as required						

Institution Details	
Name of Bank / FI	:
Address (where certificate is to be sent)	:
GST No.	:
PAN No.	:
Details of Nominating Official	
Name	:
Designation	:
Mobile No.	:
E-Mail Id	: